

**Notice of References Cited**

Application/Control No.

09/929,886

Applicant(s)/Patent Under  
Reexamination  
ALLEN ET AL.

Examiner

Siegfried E. Chencinski

Art Unit

3692

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**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-			
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	C	US-			
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**FOREIGN PATENT DOCUMENTS**

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**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Cynthia A. Glassman, Speech by SEC Commissioner: Remarks before the open meeting regarding the IA/BD Rule. US Securities and Exchange Commission, April 6, 2005, p. 1, ll. 15-16, p. 2, ll. 1-4.
	V	
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